IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA : CRIMINAL NO. 11-575-1

: Philadelphia, Pennsylvania : July 19, 2012 v.

: 9:08 o'clock a.m. JEFFREY LYNN FORBES

CHANGE OF PLEA HEARING BEFORE THE HONORABLE TIMOTHY R. RICE UNITED STATES MAGISTRATE JUDGE

APPEARANCES:

For the Government: ASHLEY K. LUNKENHEIMER, ESQUIRE

U.S. Attorney's Office

615 Chestnut Street, Suite 1250

Philadelphia, PA 19106

STEVEN F. O'MEARA, ESQUIRE 130 East State Street For the Defendant:

Media, PA 19063

Audio Operator: Sharon A. Hall

Transcribed by: Tracey J. Williams, CET

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transcriber.)

Laws Transcription Service 48 W. LaCrosse Avenue Lansdowne, PA 19050

(610)623-4178

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(The following occurred in open court at 9:08
 1
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     o'clock a.m.:)
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              THE COURT: Good morning, everyone.
 4
              ALL: Good morning, your Honor.
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              THE COURT: Mr. O'Meara, Mr. Forbes, Ms.
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     Lunkenheimer. Please be seated.
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              THE DEFENDANT: Thank you.
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              MR. O'MEARA: Thank you.
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              THE COURT: Mr. Forbes, would you just please stand
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     and we'll swear you, please?
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              Sharon, do you want to do the honors?
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              THE AUDIO OPERATOR: Please raise your right hand,
     and state and spell your last name for the record, please?
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              THE DEFENDANT: Jeffrey Forbes, F-o-r-b-e-s.
              JEFFREY LYNN FORBES, Affirmed.
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              THE AUDIO OPERATOR: Thank you.
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              THE DEFENDANT:
                             Thank you.
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              THE COURT: Okay. You can be seated, sir.
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              THE DEFENDANT:
                             Thank you, sir.
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              THE COURT: Sir, what I'm going to do -- it's my
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     understanding you are here to plead guilty, is that correct?
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              THE DEFENDANT: Yes, sir.
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              THE COURT: Okay. I'm going to ask you -- you can
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     stay seated -- I'm going to ask you a bunch of questions just
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     to determine if you're doing that knowingly and voluntarily,
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and to make sure you understand all the rights you've giving 1 2 up by pleading. Okay? 3 THE DEFENDANT: Thank you, your Honor. 4 THE COURT: So you're going to just answer yes or 5 no. If you don't understand something or you want me to 6 repeat something, just tell me that. If you need time to 7 talk to Mr. O'Meara, he's a very experienced lawyer, I'll 8 give you all the time you need to speak to him and feel free to ask him any questions, you know, during the morning that 9 you feel are necessary. All right? 10 11 THE DEFENDANT: Thank you, your Honor. THE COURT: Okay. And could you state your full 12 13 name and your age, please? 14 THE DEFENDANT: Jeffrey Lynn Forbes, age 52. THE COURT: And how far did you go in school? 15 (Pause.) 16 17 THE DEFENDANT: Well, a GED and a little bit of 18 college. 19 THE COURT: All right, that works, good. 20 Congratulations on that. And I know you can speak English; 21 can you read and write English? 22 THE DEFENDANT: Yes sir. 23 THE COURT: All right. Have you ever had or been 24 treated for drug or alcohol addiction or mental illness? 25 THE DEFENDANT: No, your Honor.

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             THE COURT: Okay. So are you taking anything now,
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     any medications or --
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              THE DEFENDANT: Yes, yes, your Honor.
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             THE COURT: What are you taking?
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             THE DEFENDANT: Exalgo.
 6
             THE COURT: What's that?
 7
             THE DEFENDANT: Uh...
             THE COURT: Or what is it for?
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             THE DEFENDANT: It's for chronic pain, sir.
             THE COURT: Chronic pain?
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             THE DEFENDANT: Yes, sir.
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             THE COURT: Okay. Does that affect your ability to
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    understand --
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             THE DEFENDANT: No, your Honor.
15
             THE COURT: -- anything?
             THE DEFENDANT: No, your Honor.
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             THE COURT: Okay. Is your head clear today --
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             THE DEFENDANT: Yes.
19
             THE COURT: -- you understand everything?
             THE DEFENDANT: Yes, sir, I do.
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21
             THE COURT: Okay. So no drug addiction issues?
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             THE DEFENDANT: No, sir.
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             THE COURT: Have you had any alcohol at all
24
    recently?
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THE DEFENDANT: No, sir.

THE COURT: All right. And you generally feel okay 1 2 today? 3 THE DEFENDANT: Yes, sir. 4 THE COURT: All right. Now, has Mr. O'Meara gone 5 over the charges against you and fully explained to you your 6 trial rights and your defenses? 7 THE DEFENDANT: Yes, your Honor. 8 THE COURT: And I know you're not entering a plea 9 agreement, but you're just going to plead to the charges with 10 no promises from the Government, is that correct? 11 THE DEFENDANT: That is correct, your Honor. THE COURT: All right. Now, do you feel like you've 12 13 had enough time to talk the case over with Mr. O'Meara? 14 THE DEFENDANT: Yes, your Honor. THE COURT: And so far has he done everything that 15 you wanted him to do? 16 17 THE DEFENDANT: Yes, your Honor. 18 THE COURT: Other than the open plea, has anybody 19 made any promises to you to get you to plead quilty? 20 THE DEFENDANT: No, your Honor. 21 THE COURT: Do you understand that no one can 22 guarantee what sentence you'll receive from me? 23 THE DEFENDANT: I understand that, your Honor. 24 THE COURT: All right. Did anyone use force, 25 violence or intimidation to try to get you to plead?

THE DEFENDANT: No, your Honor. 1 2 THE COURT: All right. And you're pleading guilty 3 because it's your own free will and your own decision? 4 THE DEFENDANT: Yes, your Honor. 5 THE COURT: Did anyone tell you what to say today? 6 THE DEFENDANT: No, your Honor. 7 THE COURT: All right. Do you understand that if 8 you're not a United States citizen that a quilty plea could 9 subject you to deportation? 10 THE DEFENDANT: I don't think that's a problem, your 11 Honor, but thank you. THE COURT: Okay, very good. Have you ever been on 12 any type of supervision before, probation, parole or ARD? 13 14 THE DEFENDANT: No, your Honor. 15 THE COURT: So you have no prior criminal record? 16 THE DEFENDANT: No, your Honor. 17 THE COURT: Okay. It's my understanding for the one 18 charge you're pleading guilty to that the maximum penalty is 19 one year in prison, a maximum of one year supervised release 20 -- did I say something wrong? MS. LUNKENHEIMER: Well, he's just charged in three 21 22 counts. 23 THE COURT: Three counts, okay. Why don't you go 24 through it then --25 MS. LUNKENHEIMER: I'm sorry.

THE COURT: -- as long as you're up.

MS. LUNKENHEIMER: I'm sorry, I wasn't trying to --

THE COURT: That's all right.

MS. LUNKENHEIMER: He's faced with three counts of the same thing, attempted possession of Oxycodone and Fentanyl. There are two controlled substances in there, the Government would -- if they proved either, he would face the same amount. So it's a little confusing, but the total will be I think self-explanatory, so let me just go through it.

For the Counts 1 through 3, if you were found guilty of attempting to possess Oxycodone, you would face a maximum of one year in prison, a maximum of one year of supervised release, a \$1,000 fine and a \$100 special assessment. Counts 1 through 3 also charge the attempted possession of Fentanyl, that carries the same maximums, but you don't double them because there were two substances in each charge, so it's only one total amount. So the total maximum sentence you face for the three counts of the information are a maximum of three years of imprisonment, a maximum of one year of supervised release, a \$3,000 fine and a \$300 special assessment.

You also need to understand that if you are placed on a term of supervised release and you violate the conditions of your supervised release, the Court can sentence you to an additional term of imprisonment of up to one year

without any credit for time you've already served, meaning that you could end up serving more time than the maximum I just read because of the supervised release violation.

THE COURT: Okay. So that's the maximum sentence you could receive if you plead guilty today; do you understand that?

THE DEFENDANT: Yes, your Honor.

THE COURT: Now, this case is going to be governed by what's called the Sentencing Guidelines and I'm required to consider those Guidelines at sentencing, but I'm allowed to either depart upward or downward from whatever those Guidelines are. I don't know what they are as we sit here, the Probation Officer will calculate that. So do you understand that the Guidelines are going to also affect the sentencing process?

THE DEFENDANT: Yes, your Honor.

THE COURT: The Probation Officer will prepare what's called a presentence report, which will set forth your Guideline range. And if you disagree with the report, you can make objections, and either the Probation Officer will rule on them or, if he can't resolve them with you, I'll make a ruling on them. But regardless, if you don't like my ruling, your guilty plea is still going to be in place. Do you understand that?

THE DEFENDANT: I understand, your Honor.

THE COURT: Okay. Do you understand that even if your attorney and the Government attorney agree on facts or make recommendations or requests at sentencing that I don't have to do what they ask me?

THE DEFENDANT: I understand, your Honor.

THE COURT: Okay. And if I disagree with what they ask, even if they do it jointly, your guilty is still binding?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand that there's no more parole and, if you're sentenced to prison, you have to serve the full prison term?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand that you can appeal your sentence to a higher court, which could modify or set aside the sentence or order me to resentence you?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand the Government could also appeal the sentence if they think I have made errors?

THE DEFENDANT: Yes, your Honor.

THE COURT: Now, you have certain constitutional rights that I'd like to go over with you. The first is that, as you sit here today, you are presumed innocent of these charges and the only way that presumption can be removed is if the Government proves your quilt beyond a reasonable

doubt. By pleading guilty, you're giving up that presumption?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand that you have a right to the assistance of a lawyer, Mr. O'Meara, at every stage of the proceedings, including before trial, during trial and after trial for any appeals to higher courts, and if you can't afford a lawyer one would be appointed for you free of charge?

THE DEFENDANT: Yes, your Honor.

THE COURT: Do you understand that you have a right to plead not guilty and persist in that plea and have your case tried by either a jury of 12 or by a judge?

THE DEFENDANT: I understand, your Honor.

THE COURT: And do you also understand that you have a right to have your case heard by a District Court Judge?
You've consented to have a Magistrate Judge resolve your case?

THE DEFENDANT: I understand that, your Honor.

THE COURT: You don't have to do that if you don't want to.

THE DEFENDANT: I understand, your Honor.

THE COURT: Okay. Do you understand you have a right to a jury who would be drawn from the people who live in the district and you would have -- Mr. O'Meara would be

able to assist you in selecting a jury of 12?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand you have a right to have the jury reach a unanimous verdict? That is, all 12 jurors would have to prove -- agree that the Government has proven your guilt beyond a reasonable doubt?

THE DEFENDANT: I understand, sir.

THE COURT: Do you understand you could obtain a subpoena or court order to make witnesses come to court to testify on your behalf?

THE DEFENDANT: I understand, your Honor.

THE COURT: And do you understand that you -- if you were found guilty, you could appeal to a higher court, which could set aside or modify your finding of guilt or give you a new trial?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand that at trial you would have a right to confront the witnesses against you, you would have a right to confront and cross-examine people testifying against you with the assistance of Mr. O'Meara and you're giving up that by pleading guilty?

THE DEFENDANT: I understand that, your Honor.

THE COURT: Do you understand that at trial you don't have to testify or take the witness stand if you don't want to and that, if you elected not to testify, Ms.

Lunkenheimer or whoever prosecutes the case could not comment or make any reference about that to the jury?

THE DEFENDANT: I understand, your Honor.

THE COURT: Do you understand that by entering a guilty plea there will be no trial and you're giving up many of the rights I just told you about -- all the rights I just told you about?

THE DEFENDANT: I understand that, your Honor.

THE COURT: Okay. Now, one other thing I want to talk to you about and I don't know how this is going to play out, but your guilty plea could affect whether you get your job back at Boeing.

THE DEFENDANT: I understand that.

THE COURT: I don't know if it will or it won't, but
I want you to know that that could factor in Boeing's
decision whether you're guilty of the offenses.

THE DEFENDANT: I understand that, your Honor.

THE COURT: So you don't have to plead guilty if you think that's an issue that you want to fight and try to get your job back.

THE DEFENDANT: I understand, your Honor.

THE COURT: Okay. Now, if the Government could prove your guilt, they would have to prove two things: They would have to prove that you intended to commit the crime of illegally possessing a controlled substance, in this case a

mixture or substance containing a detectable amount of
Oxycodone and Fentanyl; and that thereafter you did an act
constituting a substantial step towards the commission of
that crime which strongly corroborates your criminal intent.
Do you understand that?

THE DEFENDANT: Yes, your Honor.

THE COURT: All right. Now, what I want you to do is sit back and listen, because I'm going to have Ms. Lunkenheimer describe for you the evidence they would introduce at trial to prove your guilt. And it's very important you listen carefully, because I'm going to ask you if you did all the things she says you did. And if you didn't do the things she says, I need you to tell me, because you should not plead guilty to something you didn't do.

THE DEFENDANT: I understand, your Honor.

THE COURT: All right?

Ms. Lunkenheimer?

MS. LUNKENHEIMER: If this case were to proceed to trial, the Government would introduce evidence through witnesses and documentary exhibits that would establish the following: That on September 9th, 2011, the Defendant met with an individual cooperating with the Government inside of Building 3-06 on the Boeing Company's Ridley Park, Pennsylvania campus and they went into a back room. There the Defendant bought five placebo Oxycontin 40 milligram

tablets and three placebo Actiq 1200 microgram lollipops from the cooperating individual for \$160.

On September 23rd, 2011, the Defendant again met with the cooperating individual, this time outside of Building 3-06 on the Boeing campus. There the Defendant bought two placebo Oxycontin 40 milligram tablets and three placebo Actiq 1200 microgram lollipops for \$100.

And on September 26th, 2011, the Defendant again met with the cooperating individual outside of Building 3-06. There the Defendant bought one placebo Oxycontin 80 milligram tablet and three Actiq 1200 microgram lollipops from the cooperating individual for \$100.

Ridley Park, Pennsylvania, the Boeing Company's campus there, is in the Eastern District of Pennsylvania.

And the Government would show that at the time the Defendant made those three purchases the Defendant thought -- did not realize that the substances he was purchasing were placebos and thought they were the actual substances.

THE COURT: Okay. Sir, did you listen to everything Ms. Lunkenheimer said?

THE DEFENDANT: Yes, your Honor.

THE COURT: Okay. Mr. Forbes, did you commit those violations and do those acts that she outlined?

THE DEFENDANT: I believe so, yes, your Honor.

THE COURT: Do you --

THE DEFENDANT: No -- yes, your Honor. 1 2 THE COURT: Okay. I just want to make sure, if you 3 have any hesitation --4 THE DEFENDANT: No, I have no hesitation; no, sir. 5 THE COURT: Okay. All right, excellent. 6 Do you now wish to change your plea from not guilty 7 to guilty? 8 THE DEFENDANT: No, your Honor. Thank you. 9 THE COURT: Do you want to change your plea, change 10 it from not guilty -- you've already pled not guilty, now I'm 11 wondering if you want to change your plea to guilty. Do you want to plead guilty today? 12 13 (Discussion held off the record.) 14 THE DEFENDANT: Yes, your Honor, I do wish to plead 15 guilty today. 16 THE COURT: I didn't mean to confuse you, I'm sorry. 17 THE DEFENDANT: No, that was me, sir. 18 THE COURT: I know this criminal process is a little 19 unusual, so I apologize. 20 All right. Ms. Settles? 21 Would you please rise, sir? Is it comfortable for 22 you staying in your seat? You can stay seated, if you want. 23 THE DEFENDANT: No, thank you, your Honor. 24 THE COURT: Okay. 25 THE DEPUTY CLERK: Jeffrey Lynn Forbes, you've been

charged in an information, Criminal Number 11-575, in violation of 21 U.S.C. Section 846, attempted possession of Oxycodone and Fentanyl; how do you plead to these charges, guilty or not guilty?

THE DEFENDANT: Guilty.

THE DEPUTY CLERK: Thank you.

THE COURT: All right, sir. Thank you.

Now, I notice you appear to be in some pain today and I just wanted to make sure that that pain is not affecting your ability to understand what's happening or your decision today?

THE DEFENDANT: No, your Honor, it's not.

THE COURT: Because if you want to take more time and think about this, we can do that when you're feeling better.

THE DEFENDANT: No, your Honor, this is -- this is normal, sir.

THE COURT: Okay. All right.

All right, I'm going to make the following finding:
That you're fully alert, competent and capable of entering an informed plea; that your plea is knowing and voluntary plea, supported by an independent basis in fact considering each of the essential elements — containing each of the essential elements of the offenses pled to. And your plea of guilty is therefore accepted and you're now adjudged guilty of the

three offenses charged in the information.

A presentence report will be prepared by the Probation Office. And what I'm going to ask you to do is cooperate with Mr. O'Meara and meet with the Probation Officer, because the report will help them give me a better picture of you and your life and what your circumstances are. For example, it would be difficult for me to impose sentence on you today because, other than meeting you this morning, I don't know really anything about your background and this is to help me get a better picture of who you really are.

THE DEFENDANT: Thank you, your Honor.

THE COURT: Okay. You can have Mr. O'Meara present whenever you meet with Probation, if you want. And I would ask you to sit down and review the report after it comes out. If you have concerns or if you see errors in there, notify the Probation Office and try to work them out; if not, you can file objections with me within 14 days of getting the report and I'll resolve them.

Now, we're going to -- I believe this date is okay with everybody, sentencing on November 6th at 11:00 a.m.?

MR. O'MEARA: Yes, your Honor.

THE DEFENDANT: Yes, your Honor.

THE COURT: Is that convenient, Mr. O'Meara?

MR. O'MEARA: That is, that's fine.

THE COURT: Is that convenient for you, Mr. Forbes?

1 THE DEFENDANT: Yes, sir.

THE COURT: Ms. Lunkenheimer is always available, as she tells me.

MS. LUNKENHEIMER: I'm available.

THE COURT: All right. And I didn't get a report from Pretrial Services, but do you have any issues on Mr. Forbes' bail?

MS. LUNKENHEIMER: It's my understanding that he has not had any issues, none have been brought to my attention, and he reports that he has been compliant with all of the conditions. And the one thing I didn't have the chance because I was running in here to ask him was to make sure that he was -- as the Court I know is inclined to do, just to make sure he's getting any counseling that he would need, the Court can help him get that, and I didn't get a chance to ask him about that.

THE COURT: Yeah, it's important, I know you have some pain issues, and that you're getting all the treatment that you need and you're getting all the medication, counseling and advice that you need, because I can arrange with Pretrial Services to help you with that.

THE DEFENDANT: Thank you.

MR. O'MEARA: He's actually -- if I may, your Honor?

THE COURT: Sure.

MR. O'MEARA: He is -- I just saw the report

yesterday, I couldn't find it in the file. I was running yesterday, I don't know why, it's probably on my desk right now, but I did look at a report that was a drug and alcohol report. And I think it was a follow-up drug and alcohol, just to make sure that he's compliant and everything is going well, and it appeared everything was fine.

THE COURT: Good.

MS. LUNKENHEIMER: Yeah, I think our question is just more beyond that, even if he's being compliant, is he -- does he feel that he's getting the resources he needs to be successful in staying compliant, I think that's --

THE DEFENDANT: Yes, I am. Thank you. Yes, yes, your Honor.

THE COURT: Okay. If you need anything, tell Mr. O'Meara and I'll help you get anything. So, for example, if you need counseling or treatment or some type of medication or anything like that, we'll try to help you get it.

THE DEFENDANT: Thank you, your Honor.

THE COURT: Okay. All right. Anything else we have to do today? I'll continue bail.

Mr. O'Meara, did he sign the consent a form?

MR. O'MEARA: I'm not sure that he signed off on it. I have one here.

THE COURT: Why don't you just sign it and we'll docket it.

(Discussion held off the record.) 1 2 THE COURT: Oh, one other thing while he's doing 3 that, Mr. O'Meara, are you going to be filing a 3607 request? 4 MR. O'MEARA: Yes. 5 THE COURT: All right. We had a hearing on that 6 where the Government presented some evidence last week. I'm 7 having the transcript docketed, so you can have access to it. 8 We're going to have the second half of that hearing Monday, I don't know what your availability is, Monday at... 10 MS. LUNKENHEIMER: It's Monday at 1:30, your Honor. 11 THE COURT: 1:30. MS. LUNKENHEIMER: And I did tell him and I did 12 13 indicate that his client should be present as well, but I was 14 telling him these are -- we're not going to present any 15 specific witnesses as to Mr. Forbes at this time, but it 16 would be beneficial for him to have the opportunity to cross-17 examine the Government's general witnesses. 18 THE COURT: I don't know if that works for you then, 19 I know it's late notice. 20 MR. O'MEARA: I can be here, your Honor, no problem. 21 THE COURT: Okay. So we're going to be in 16 --22 THE DEPUTY CLERK: В. 23 THE COURT: -- B, Judge Pollak's former courtroom, 24 at 1:30 on Monday.

MR. O'MEARA: Is it 1:30 sharp or --

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             MS. LUNKENHEIMER: Could you tell by the way I ran
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     in that this Judge runs his court on time?
 3
              (Laughter.)
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              MS. LUNKENHEIMER: Yes, it's 1:30 sharp.
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              MR. O'MEARA: Common Pleas Court -- may I approach
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    with the --
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              THE COURT: Sure, go ahead.
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              MR. O'MEARA: -- they have a different time clock;
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     when they say 1:30, it's 2:30.
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              THE COURT: Oh, no. When we say 1:30, we mean 1:30.
11
              MR. O'MEARA: Okay.
              THE COURT: But if you have a prior commitment and
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    you're going to be later, I'll wait for you.
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              MR. O'MEARA: I already started clearing it --
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              THE COURT: Okay.
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              MR. O'MEARA: -- until Monday afternoon, I'll be
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    here.
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              THE COURT: Okay. Because I understand it's late
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    notice, I'll wait if you're running to courts.
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              MR. O'MEARA: I'll call chambers if there's any
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    problems --
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              THE COURT: Okay.
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              MR. O'MEARA: -- but I don't foresee that.
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              THE COURT: Excellent. All right. Anything
25
     further?
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1	MS. LUNKENHEIMER: No, your Honor.
2	MR. O'MEARA: No, your Honor.
3	THE COURT: All right. Mr. Forbes, I wish you all
4	the best, all right?
5	THE DEFENDANT: Thank you, your Honor.
6	THE COURT: You don't have to get up.
7	(Discussion held off the record.)
8	THE COURT: Good luck, Mr. Forbes.
9	THE DEFENDANT: Thank you, your Honor.
10	MR. O'MEARA: Thank you, your Honor.
11	THE COURT: All right, Ms. Lunkenheimer.
12	MS. LUNKENHEIMER: Take care, your Honor.
13	THE COURT: Sharon, thank you.
14	THE AUDIO OPERATOR: You're welcome.
15	MS. LUNKENHEIMER: Thank you for your patience this
16	morning.
17	THE COURT: Oh, sure, no problem.
18	(Hearing adjourned at 9:28 o'clock a.m.)

CERTIFICATION

I hereby certify that the foregoing is a correct transcript from the electronic sound recording of the proceedings in the above-entitled matter.

s:/Geraldine C. Laws, CET Laws Transcription Service Dated 10/3/12